



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 10/6/04

BY: Annie Wong

Annie Wong

Docket No. 2000-020R1  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Goldwasser et al.

Serial No.: 10/043,515

Filed: March 26, 2001

For: Custom E-Research System and Methods

Group Art Unit: 2121

Examiner: Bell, Meltin

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- |  |   |
|--|---|
| <input type="checkbox"/> Preliminary Amendment             | <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement |
| <input type="checkbox"/> Response/Amendment A              | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2)                         |
| <input type="checkbox"/> Response/Amendment After Final    | <input type="checkbox"/> Formal Drawings  |
| <input type="checkbox"/> Supplemental Amendment            | <input type="checkbox"/> Declaration Under 37 CFR 1.131                           |
| <input type="checkbox"/> Affidavits/Declarations           | <input type="checkbox"/> Declaration Under 37 CFR 1.132                           |
| <input type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Terminal Disclaimer                                      |
| <input type="checkbox"/> Supplemental Declaration          | <input type="checkbox"/> Small Entity Statement                                   |
| <input type="checkbox"/> Power of Attorney                 | <input type="checkbox"/> Request for Refund                                       |
| <input type="checkbox"/> Change of Correspondence Address  | <input type="checkbox"/> Appeal   |
| <input type="checkbox"/> Associate Power of Attorney       | <input type="checkbox"/> Petition for Extension of Time                           |
| <input type="checkbox"/> Response to Missing Parts         | <input type="checkbox"/> Status Letter  |

to be filed in the above-identified patent application.

10/14/2004 FFANAI2 00000039 500496 10043515

01 FC:1806 180.00 DA

**TOTAL AMOUNT OF PAYMENT:** \$ 180.00  
**METHOD OF PAYMENT**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set it 37 CFR 1.18 at the Mailing of the Notice of Allowance

**FEE CALCULATION**

**1.EXTRA CLAIM FEES**

			Extra Claims		Fee from below		Fee Paid
Total Claims		-20** =		X		=	
Independent Claims		-3** =		X		=	
Multiple Dependent Claims (first appearance) \$300/150							

\*\*or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent
				<b>SUBTOTAL (2) (\$)</b>

2. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge – late filing fee or oath	
1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive – unavoidable	
1453	1,330	2453	665	Petition to revive – unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Statement	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) Publication Fee					
SUBTOTAL (3)					(\$)
					180.00

\*Reduced by Basic Filing Fee Paid

Respectfully submitted,

Date:

9/6/04



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Group Art Unit: 2121

Examiner: Bell, Meltin

Santa Clara, California

October 6, 2004

Commissioner for Patents  
P.O. Box 1450  
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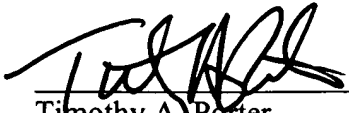
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

The applicant requests that the references cited herein be considered in connection with the examination of this application. The references are listed on the attached PTO-1449 form.

This is being filed after the first office action, and thus applicant is paying the fee required by 37 CFR § 1.17(p) in the accompanying transmittal letter.

Respectfully submitted,

  
\_\_\_\_\_  
Timothy A. Porter  
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Attorney for Applicant

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<b>SUPPLEMENTAL INFORMATION DISCLOSURE CITATION</b>  PTO-1449			ATTORNEY'S DOCKET NO.: 2000-020R1		APPLICATION NO.: 10/043,515		
			APPLICANT: Goldwasser et al.				
			FILING DATE: March 26, 2001		GROUP: 2121	CONFIRMATION NO. 9732	
<b>US PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	6,411,945	6/25/2002	Nakajima	706	19		
	6,581,020	6/17/2003	Buote et al.	702	123	10/10/00	
	6,584,412	6/24/2003	Brecher	702	27	08/04/00	
	6,681,198	1/20/2004	Buote et al.	702	185	08/16/01	
<b>FOREIGN DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUB CLASS	TRANSLATION Yes No	
	WO 01/65415	9/07/2001	PCT	G06F	17/30		
<b>OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages Etc.)</b>							
	Kagaku, "Combinatorial Chemistry: Inconceivable without Computers", <i>Combinatorial Chemistry</i> , Vol. 51, No. 8, pp. 480-483 & 583 (1996). <b>Original Japanese reference and English translation included.</b>						
	Yamagata et al., "Constructing an Assay System with HTS", <i>IV High Throughput Screening</i> , pp. 179-191 (1997). <b>Original Japanese reference and English translation included.</b>						
EXAMINER:				DATE CONSIDERED:			

Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.